

Case Docket No. WEBSEN.013C1

Date: April 14, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Hegli, et al.

Appl. No.

10/616,420

Filed

July 8, 2003

For

SYSTEM AND METHOD FOR

CONTROLLING ACCESS TO

INTERNET SITES

Examiner

Unknown

Group Art Unit:

2143

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

<u> April 14, 2004</u>

James F. Herkenhoff, Reg. No. 51,241

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement. (X)
- (X) A PTO Form 1449 with thirty one (31) references, seven (7) enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James F. Herkenhoff Registration No. 51,241 Attorney of Record Customer No. 20,995 (619) 235-8550

Docket No.: WEBSEN.013C1

INFORMATION DISCLOSURE STATEMENT

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SYSTEM AND METHOD FOR

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Examiner

Unknown

Group Art Unit

2143

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing thirty one (31) references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

James F. Herkenhoff

Registration No. 51,241

Attorney of Record

Customer No. 20,995

(619) 235-8550

APR 1 9 2004 TRADEMARK ((

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT **BY APPLICANT**

(USE SEVERAL SHEETS IF NECESSARY)

		SHEET I OF 2
ATTY. DOCKET NO. WEBSEN.013C1	APPLICATION NO. 10/616,420	
APPLICANT Hegli, et al.		
FILING DATE July 8, 2003	GROUP 2143	

U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	DATE NAME		SUBCLASS	FILING DATE (IF APPROPRIATE)	
	1	4,423,414	12/27/83	Bryant et al.				
	2	4,941,084	41,084 07/10/90 Terada et al.	Terada et al.				
	3	5,493,692	2/20/96	Theimer, et al.				
	4	5,548,729	08/20/96	Akiyoshi et al.				
	5	5,555,376	9/10/96	Theimer, et al.	-			
	6	5,682,325	10/28/97	Lightfoot, et al.				
	7	5,696,486	12/9/97	Poliquin, et al.				
	8	5,696,898	12/9/97	Baker, et al.				
	9	5,774,668	6/30/98	Choquier, et al.				
	10	5,787,427	7/28/98	Benantar, et al				
	11	5,832,228	11/3/98	Holden, et al.				
	12	5,832,503	11/3/98	Malik, et al.				
	13	5,848,233	12/08/98	Radia et al.				
	14	5,850,523	12/15/98	Gretta, Jr.				
	15	5,892,905	4/6/99	Brandt, et al.				
·	16	5,896,502	4/20/99	Shieh, et al.				
	17	5,941,947	8/24/99	Brown, et al.				
-	18	5,958,015	9/28/99	Dascalu				
	19	5,991,807	11/23/99	Schmidt, et al.				
	20	6,052,723	4/18/00	Ginn				
	21	6,065,059	5/16/00	Shieh, et al.				
	22	6,182,118 B1	1/30/01	Finney, et al.	1			
	23	6,338,088 B1	1/8/02	Waters, et al.				
	24	6,456,306 B1	9/24/02	Chin, et al.	1		**************************************	

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICATION NO. 10/616,420
INFORMATION DISCLOSURE STATEMENT BY APPLICANT .	APPLICANT Hegli, et al.	
(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE	GROUP

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO	
	25	0 658 837 A2	12/13/94	EPO				
	26	WO 92/19054	10/29/92	PCT				
	27	WO 96/42041	12/27/96	PCT				
,								

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	28 Sandhu, e	et al., Access Control: Principles and Practice, IEEE Communications Magazine, pp. 40-48, September 1994				
	29 C. L. Schuba and E. H. Spafford. Countering abuse of name-based authentication. In 22nd Annual Telecommunications Policy Research Conference, 21 pp., 1996					
	30 SurfContr	rol plc, SuperScout Web Filter Reviewer's Guide, 36 pp., 2002				
		t al., Role-Based Security for Distributed Object Systems, Proceedings of the IEEE Fifty Workshops on Technology: Infrastructure for Collaborative Enterprises (WET ICE '96), 6 pp., June 19-21, 1996				

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EXAMINER

DATE CONSIDERED